



# National Cybersecurity Framework of Japan

29<sup>th</sup> October 2019

Hidetoshi OGAWA Counsellor/Director of International Strategy NISC, Cabinet Secretariat

### **Cybersecurity Strategic Headquarters**



# Japan's Cybersecurity Framework and NISC



- The Basic Act on Cybersecurity provides:
  - Definition of "Cybersecurity" in legal context
  - Position of Cybersecurity Strategy
  - Authority and mandate of Cybersecurity Strategic HQ, which consists of Ministers and notable experts

As the secretariat of Cybersecurity HQ, NISC (Cabinet Secretariat) works under the HQ's mandate, mainly as:

 <u>National coordinator & Baseline setter of Cybersecurity</u> - integrating and advancing cybersecurity policies crossing over governmental bodies

- Cybersecurity Strategy
- Cybersecurity Policy for Critical Infrastructure Protection
- Common Standard on Information Security Measures of Government Entities
- Cybersecurity Human Resource Development Plan
- Cybersecurity Research and Development Strategy

② Operational body as governmental CSIRT - monitoring, analyzing, and handling cyber attacks to governmental bodies on 24/7 basis, as well as assisting them in case of severe cyber incidents by sending a special assistance team "CYMAT".

etc.

### Outline of the Cybersecurity Strategy 2018 (tentative translation) NISC

Goals, Principles, Philosophy, and Approaches

#### Develop Free, fair and secure cyberspace, subsequently contribute to 1) Socio-economic Vitalization and Sustainable Development, 2) Safe and Secure Society, and 3) International Peace & Stability and Nat'l Security; Based on principles of 1) Free Flow of Information, 2) Rule of Law, 3) Openness, 4) Autonomy of the Internet, and 5) Collaboration of Various Stakeholders With philosophy of promoting Cybersecurity Ecosystem, promote cybersecurity with following approaches: 1) Mission Assurance, 2) Risk Management, and 3) Participation, Coordination and Collaboration of all people. Measures for Achieving Goals Socio-Economic Vitality and Peace and Stability of Int'l Community Safe and Secure Society for the People Sustainable Development and National Security 1. Cybersecurity as Value 1. Proactive Cyber Defense and 1. Commitment to Free, Fair and **Creation Enabler Efforts to Combat Cyber Crime** Secure Cyberspace 2. Critical Infrastructure Protection through 2. Realizing Supply Chain PPP 2. Strengthening Capabilities of **Generating Value from** 3. Enhancing Security Measures on Nat'l **Defense**, **Deterrence** and **Diverse Connection** Government Situational Awareness 4. Cybersecurity at Academia and Research 3. Creation of Secured IoT Institutes 3. International Cooperation and **Systems** 5. CS for Tokyo 2020 envisioning "beyond Collaboration 2020" 6. New Information Sharing & Collaboration Framework 7. Enhancing Readiness on Massive Cyberattack Crisis Crosscutting Measures Human Resource Development **R&D** Promotion Cyber Hygiene Full Participation of All Stakeholders

NISC, as the secretariat of the Cybersecurity Strategic Headquarters, plays a leading role, enhancing whole government approach, public private partnership.

# Japan's Cyber Security Diplomacy – Three pillars

MOFA

With the three pillars consisting of 1) promotion of the rule of law in cyberspace, 2) development of the confidence-building measures and 3) cooperation of capacity building, Japan aims to further contribute to the peace and stability of the Asia-Pacific region as well as the international community.

#### Promoting discussions on the application of international law to cyberspace and the development of non-binding norms in peacetime

①Promotion of the Rule of Law in Cyberspace

#### UNGGE G7

ARF

#### ②Development of Confidence-Building Measures

Developing confidence building in peacetime to prevent cyber conflicts

#### ③Cooperation on Capacity

#### Building

Conducting capacity building and providing assistance for human resource development because security holes in other countries are risk factors for the entire world including Japan

#### 1 Partnerships and dialogues with other countries

- (1) Japan has conducted bilateral dialogues on cyber with 11 countries (the US, Australia, the UK, France, Russia, India, ROK, Israel, Estonia and Ukraine).
- (2) Japan also has had dialogues on cyber with the EU and ASEAN as well as within the Japan-China-ROK and Japan-US-ROK trilateral frameworks.

#### 2 Major Global/Regional Frameworks

- (1) United Nations Group of Governmental Experts (UNGGE): Governmental cyber experts from different countries discuss issues such as the rule of law and confidence-building in cyberspace within the framework of the UN. Since 2004, five sessions have been held.
- (2) G7 (Summit Meeting and Foreign Ministers' Meeting): The G7 leaders decided to establish a new working group on cyber at Ise-Shima Summit 2016.
- (3) G20: Cyber was one of the agendas and mentioned in the joint statement.
- (4) ASEAN Regional Forum (ARF): The forum has held workshops on cyber and established a new Inter-sessional Meeting on Security of and in the Use of Information Communications Technologies(ARF-ISM on ICTs Security).
- (5) Global Conference on Cyber Space (GCCS) (so called the London Process): Minister-level participants comprehensively discuss various issues on cyber.

\*In addition, there are a number of track 1.5 dialogues and symposiums sponsored by the non-governmental sector.

4

# NDPG and MTDP



# On December 18<sup>th</sup>, 2018, the Government of Japan (GOJ) formulated the new National Defense Program Guidelines (NDPG) and the Medium Term Defense Program (MTDP).

### Position and significance

O The National Defense Program Guidelines (NDPG) is formulated in line with National Security Strategy (NSS), which sets forth Japan's basic defense policy, the function and roles Japan's defense capability, organizational objects for and the target level of specific organization of self-defense forces, etc.

O The Medium Term Defense Program (MTDP) is a defense program formulated <u>for the five-year time frame</u> based on the target level of defense capability set forth in the NDPG. The MTDP will build out a plan for maintaining and operating defense capability for each fiscal year through to FY 2023.

### Relationship between the NSS, NDPG, MTDP and Annual Budget

### National Security Strategy (NSS)

Formulate based on NSS

Basic policy for national security, centered on foreign policy and defense policy (Approximately 10-year time frame)

National Defense Program Guidelines (NDPG)

Sets forth the form and required level of defense capability (Approximately 10-year time frame)

Accomplish the target level of defense capability

Medium Term Defense Program (MTDP)

Budgeting and embodiment as projects

Annual Budget

Details the total cost (limit) over five years and inventorizes key capital equipment

By examining the environment, etc., budget the necessary cost for each fiscal year

UNCLASSIFIED

# NDPG's Objective



O At present, security environment surrounding Japan is changing at extremely high speeds.

- O In strengthening its defense capability, Japan must squarely face the aforementioned realities of national security and ensure necessary and sufficient quality and quantity so as to build a truly effective defense capability that does not lie on a linear extension of the past.
- O In particular, <u>it has become essential that Japan achieve superiority in new domains</u>, <u>which are space, cyberspace and electromagnetic spectrum</u>; Japan needs to engage in a transformation at a pace that is fundamentally different from the past towards to build a new defense capability that combines strengths across all domains.
- O Japan's fulfillment of its responsibility as a sovereign nation is a foundation upon which to <u>further enhance the ability of the Japan-U.S. Alliance, cornerstone of Japan's national</u> <u>security</u>, to deter and counter threats, and to strategically promote security cooperation.

Capability to disrupt, during attack against Japan, opponent's use of cyberspace for the attack.

- O On the assumption that MOD/SDF possibly needs to disrupt, during attack against Japan, opponent's use of cyberspace for the attack based on the previous Medium Term Defense Program\*, MOD/SDF has <u>enhanced</u> <u>investigation and analysis functions</u> of malware, <u>developed practical cyber exercise environment simulating</u> <u>SDF's command and control systems</u>, and <u>conducted vulnerability inspection of the SDF' command and control systems</u>, and <u>conducted vulnerability inspection of the SDF' command and control systems</u> by using the same methods as actual attacks (penetration test).
- O Under the banner of the new National Defense Program Guidelines clearly stating the acquisition to <u>capability to disrupt, during attack against Japan, opponent's use of cyberspace for the attack</u>, MOD/SDF <u>attempts to build such capability</u> through a wide range of efforts.

<sup>"</sup> Through its efforts to secure response capabilities in cyberspace where attackers have an overwhelming advantage, the SDF <u>may consider the acquisition of capabilities</u> to prevent them from using cyberspace.<sup>"</sup> (Medium Term Defense Program (FY 2019 - FY 2023))

Simulation of command and control systems



Is it possible to penetrate various command and control systems, etc.?

What is the maximum intensity of attack the system can withstand?

What is the degree of damage that will be caused?

Will the attack extend to other command and control systems, etc.?

### National Defense Program Guidelines for FY2019 and beyond – Excerpt of Cyber Related Descriptions

- III. Japan's Basic Defense Policy
- 3. Strengthening security cooperation
- (2) Responding to global issues

Regarding the use of cyber domain, Japan will enhance its partnership and cooperation with relevant countries through measures such as sharing views on threat awareness, exchanging views on response to cyber attacks, and participating in multilateral exercises.

- ${\rm I\!V}.$   $\,$  Priorities in Strengthening Defense Capability  $\,$
- 2. Priorities in strengthening capabilities necessary for cross-domain operations
  - (1) Acquiring and strengthening capabilities in space, cyber and electromagnetic domains In order to realize cross-domain operations, SDF will acquire and strengthen capabilities in new domains, which are space, cyberspace and electromagnetic spectrum by focusing resources and leveraging Japan's superb science and technology. In doing so, SDF will strengthen and protect command, control, communications and information capabilities that effectively connect capabilities in all domains including the new ones.

### b. Capabilities in cyber domain

Information and communications networks that leverage cyberspace are a foundation for SDF's activities in various domains, and attack against them seriously disrupts organized activities of SDF. In order to prevent such attack, SDF will continue to strengthen capabilities for persistent monitoring of command and communications systems and networks as well as for damage limitation and recovery. In addition, SDF will fundamentally strengthen its cyber defense capability, including capability to disrupt, during attack against Japan, opponent's use of cyberspace for the attack.

In so doing, SDF will significantly expand its human resources with specialized expertise and skills, and take into consideration its contributions to whole-of-government efforts.



### National Defense Program Guidelines for FY2019 and beyond – Excerpt of Cyber Related Descriptions

V. Organization of Self-Defense Forces

防衛省・自衛隊 MINISTRY OF DEFENSE

- 1. Joint operation to realize cross-domain operations
  - (1) In order to further promote joint-ness of GSDF, MSDF and ASDF in all areas, SDF will strengthen the Joint Staff Office's posture designed for effective SDF operations and for new domains, thereby enabling swift exercise of SDF's capabilities. SDF will examine future framework for joint operation. SDF will also work to flexibly leverage personnel of each SDF service through such efforts as building posture for force protection and damage recovery with an eye on mutual cooperation among SDF services.
  - (3) SDF will maintain a cyberspace defense unit as an integrated unit in order to <u>conduct persistent</u> monitoring of SDF's information and communications networks as well as to fundamentally strengthen cyber defense capability, including capability to disrupt, during attack against Japan, opponent's use of cyberspace for the attack.

### Medium Term Defense Program (FY2019 – FY2023) – Excerpt of Cyber Related Descriptions

II. Reorganization of the Major SDF Units



1. In order to build a structure that is capable of realizing cross-domain operations including new domains, which are space, cyberspace and electromagnetic spectrum, SDF will <u>strengthen the Joint Staff's posture designed for effective SDF operations and for new domains, thereby enabling swift exercise of SDF's capabilities</u>. For the future framework for joint operations, SDF will take necessary measures after considering how to conduct the operation of organizations in which the functions in the new domains are <u>operated unitarily</u>, and come to conclusions after considering how the integrated structure should be during steady-state to appropriately execute instructions from the Minister based on the posture of the strengthened Joint Staff. SDF will also work to flexibly leverage personnel of each SDF service through such efforts as building posture for force protection and damage recovery with an eye on mutual cooperation among SDF services.

SDF will <u>establish 1 squadron of cyber defense unit as joint unit</u> in order to fundamentally strengthen cyber defense capabilities, including capability to disrupt, in the event of attack against Japan, opponent's use of cyberspace for the attack as well as to conduct persistent monitoring of SDF's information and communications networks.

### Medium Term Defense Program (FY2019 – FY2023) – Excerpt of Cyber Related Descriptions

- III. Major Programs regarding SDF's Capabilities
  - 1. Priorities in Strengthening Capabilities Necessary for Cross-Domain Operations
  - (1) Acquiring and Strengthening Capabilities in Space, Cyber and Electromagnetic Domains
  - (B) Capabilities in Cyber Domain

SDF aims to persistently ensure sufficient security against cyber attack and acquire capability to disrupt, opponent's use of cyberspace in the event of attack against Japan. With consideration to enhancing joint functions and efficient resource allocations, SDF will establish the necessary environment by such measures as expanding the structure of cyber defense squadron and other units, enhancing the resiliency of the C4 systems of SDF, strengthening capabilities of information gathering, research and analysis, and developing a practical training environment that can test SDF's cyber defense capability. In addition, SDF will strive to keep abreast of the latest information including cyber-related risks, counter measures and technological trends, through cooperation with the private sector, and strategic talks, joint exercises and other opportunities with the ally and other parties.

As the methods of cyber attack are becoming increasingly sophisticated and complicated, securing personnel with expertise on a continuing basis is essential. SDF plans to develop personnel with strong cyber security expertise, through efforts such as improving the in-house curriculum for specialized education, increasing learning opportunities at institutions of higher education at home and abroad, and conducting personnel management that cultivates expertise. In addition, SDF will strengthen the cyber defense capability by utilizing superior outside expertise.

In order to enable a comprehensive response through a whole-of-government approach in cyber domain, MOD/SDF seeks to enhance close coordination with relevant ministries and agencies, etc. by providing knowledge and MOD/SDF personnel on a steady-state basis, and enhance training and exercises.

#### UNCLASSIFIED



### Establishment of the Cybersecurity Council



# Cybersecurity Policy for CIP (4th Edition) (April 2017, Revised July 2018)





1. Points of Revision				
<ul> <li>Mission Assurance:</li> <li>Envisioning Tokyo 2020:</li> </ul>	Promotion of actions to <u>reduce risks of critical infrastructures' service outage</u> caused by natural disasters, cyber-attacks, etc. and <u>ensuring resilience</u> in order to <u>provide CI services safely and continuously</u> , based on <u>active and firm commitment</u> of management executives.			
2 Challongos				
<ul> <li>CI operators are <u>taking measu</u></li> <li>Improve information sharing no</li> <li>Continue and improve provisio</li> <li>3. Policy Priorities</li> </ul>	<u>res</u> , but still ha ot only IT but al on of information	ve some challenges on Check & Action in PDCA cycle. so OT (Operational Technology) and promote incident readiness. To the nation through analysis and cooperation with various entities all	over the world.	
<ul> <li>(1) Promotion of Leading Activities (Classification)</li> <li>Enhancing activities of leading sectors (such as electric power supply, information &amp; communication services, and financial services), which other CI services highly depend on.</li> </ul>		<ul> <li>(2) Enhancement of Information Sharing Structure beyond the Olympic and Paralympic Games</li> <li>Introducing severity scale for CI service outages.</li> <li>Diversifying communication modality and channels (anonymization, sharing thru CEPTOAR* Secretariat and/or CIP supporting agencies) and breaking the barrier of information sharing; studying how to gather cross-sectoral information to the Cabinet Secretariat. "Capability for Engineering of Protection, Technical Operation, Analysis and Response</li> </ul>	<ul> <li>(3) Promotion of Incident Readiness Based on Risk Management</li> <li>Improving risk assessment in CI operators by providing NISC's <u>"risk</u> assessment guideline for mission assurance" and workshops.</li> <li>Promoting incident readiness of CI execution incident readiness of CI</li> </ul>	
such leading activities for cybersec	ersecurity.	<ul> <li>Developing <u>information sharing system</u> for automated, labor-saving swift and reliable operation (also envisioning to use it as hotline among stakeholders).</li> <li>Including OT, IoT, etc. in the scope of information sharing.</li> <li>Enhancing CIP by exercises and penetration tests.</li> <li>Expanding the protection scope as "cross-cutting sector-wide" including supply chain.</li> </ul>	<ul> <li>Encouraging CI operators to conduct cybersecurity internal audit including monitoring and review, referring to NISC's risk assessment guideline.</li> </ul>	

#### 4. Duration

◆ 4<sup>th</sup> Edition will cover by the end of the Olympic and Paralympic games, and will be revised even within the period if necessary.

# **Common Standards for Government Agencies**



- Common Standards Group for Information Security Measures for Government Agencies and Related Agencies (hereinafter "the Common Standards") is a common framework to uniformly raise the level of information security for all governmental ministries, agencies and related agencies, as the "baseline" standard.
- **Government Agencies** should develop its own security policy on the basis of the Common Standards and implement it through their plan, taking duly into account their specificity.



# **Cybersecurity Operation**





Overview of Interagency Agreement for Government Procurement of IT system, Equipment, and Services and Procurement Procedure

#### 1. Scope

The IT system, equipment, and services judged to fall into following categories by each ministry through the consultation with NISC and National Strategy Office of IT

- a. The system that deals with information regarding national security and public safety
- b. The system treating confidential information or sensitive information, the breach of which causes social or economic loss
- c. The system dealing with a large volume of personal information such as social security number
- d. The foundation system such as LAN, the disruption of which causes serious effect on the ministry's operation
- e. The system with high running cost

#### 2. Effectuation

From April 1<sup>st</sup> 2019

#### 3. Procurement Process

Above systems will be procured through the comprehensive scoring auction which takes various factors into consideration as well as price. Each ministry can obtain necessary information by issuing RFI (Request for Information) or RFP (Request for Proposal).

### 4. Advice by NISC and National Strategy Office of IT

When necessary, each ministry should consult with NISC and National Strategy Office of IT about appropriate measures to ensure cybersecurity of its system through the procurement

Cyber Attacks Observed by NICTER

National Institute of Information and Communications Technology (NICT) is observing cyber attacks globally by monitoring 300,000+ unused IP addresses (darknet).



MIC

## Attacks on IoT Devices (Observed by NICTER)



## Identify IoT Devices with Improper Password Settings MIC

Due to the sophistication of cyber attacks using IoT devices, the amendment of the NICT Act was passed the diet in May 2018. The act enables NICT to scan IoT devices on the Internet and identify IoT devices with improper password setting.



### Project to Alert Users of IoT Devices Infected with Malware

Along with NOTICE, MIC and the NICT, in cooperation with ISPs, conduct the project to identify devices infected with malware by using NICTER system and notify the ISPs so that they can alert users of the infected devices from mid June 2019.

#### <Overview of the project>

- (1) NICT identifies the devices generating the malware-infected traffic by using NICTER system.
- (2) NICT provides the information of the malware infected devices to ISPs.
- (3) The ISPs identify the users of the devices and alert users.



### Progress on the Projects

MIC

Among 200 million IP addresses in Japan, approximately 90 million IP addresses managed by 33 ISPs that are participating in the projects have been investigated.

(1) Results of NOTICE	(2) Results of the project to alert users of malware- infected IoT devices	
Number of IP addresses in which ID and password could be entered 42,000 In the above, the number of those which were successfully logged-in to with weak password settings and were subject to user alert	Number of IP addresses which seem to be infected with malware and were subject to user alert	

The number of Internet Service Providers participating in the project is 33. In addition to these measures, a proactive measure is required.  $(\Rightarrow next page)$  Amendment of the Technical Condition of Terminal Equipment for IoT Security

- **Terminal equipment** that is directly connected to telecommunication network through internet protocol **is required to have**:
  - 1) access control on the remote control function,
  - 2) feature to encourage its user to change the default IDs/passwords
  - 3) firmware update feature for the future security fixes,

or any equivalent/better security measures to/than above.

- The requirement does not apply to personal computers or smartphones that are generally protected by other security measures such as anti-virus software.
- MIC published the guideline for the security requirements of the Technical Condition, which describes the scope of device types, details of the requirements, etc.

### Schedule

The amended Technical Condition will be enforced on April 1, 2020. After this, the type approval will be given to only the terminal equipment that conform to the Technical Condition.

MIC

### Cybersecurity Month Campaign (Feb. 1 - March 18, 2018 & 2019)



Cybersecurity Month 2018 focused "Analogue Hacking (social engineering)," which enables spear-phishing attacks penetrating people's mind.



Cyber-attack demonstration, symposium talking about near-future world after cyber singularity takes place, etc. was featured for public awareness of cybersecurity.



Information Security Incident Handling Competition for Ministries.





# Thank you